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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|---------------|
| Application Number | 09/914,464 |
| Filing Date | 11/08/2000 |
| First Named Inventor | Xun XU et al. |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | CL000895USNAT |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/
Incomplete Application
- ☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

Notification of Missing Requirements

- ☐ Assignment Papers
(for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation
Change of Correspondence
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication
to Group
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please
identify below):
Application Data Sheet (5 pgs)
executed Declaration (8 pgs)
Sequence Listing Submission & disk

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Lin Sun-Hoffman, Ph.D., Reg. 47,983

Signature

Date

04/04/2002

CERTIFICATE OF MAILING

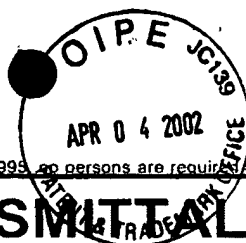
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**1546**

| Complete if Known | |
|----------------------|------------------|
| Application Number | 09/914,464 |
| Filing Date | November 8, 2000 |
| First Named Inventor | Xun XU et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | CL000895USNAT |

| METHOD OF PAYMENT | | FEE CALCULATION (continued) | |
|---|--|--|--|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 50-0970 Deposit Account Name: Celera Genomics <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | 3. ADDITIONAL FEES | |
| 2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | | |
| FEE CALCULATION | | | |
| 1. BASIC FILING FEE Large Entity Small Entity Fee Code (\$) | | Large Entity Small Entity Fee Code (\$) | |
| 101 740 201 370 Utility filing fee | | 105 130 205 65 Surcharge - late filing fee or oath | |
| 106 330 206 165 Design filing fee | | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | |
| 107 510 207 255 Plant filing fee | | 139 130 139 130 Non-English specification | |
| 108 740 208 370 Reissue filing fee | | 147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination | |
| 114 160 214 80 Provisional filing fee | | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | |
| SUBTOTAL (1) (\$) 0 | | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | |
| 2. EXTRA CLAIM FEES | | 115 110 215 55 Extension for reply within first month | |
| Total Claims 51 -20** = 31 X 18 = 558 | | 116 400 216 200 Extension for reply within second month | |
| Independent Claims 10 -3** = 7 X 84 = 588 | | 117 920 217 460 Extension for reply within third month | |
| Multiple Dependent 270 = 270 | | 118 1,440 218 720 Extension for reply within fourth month | |
| Large Entity Small Entity Fee Code (\$) | | 128 1,960 228 980 Extension for reply within fifth month | |
| 103 18 203 9 Claims in excess of 20 | | 119 320 219 160 Notice of Appeal | |
| 102 84 202 42 Independent claims in excess of 3 | | 120 320 220 160 Filing a brief in support of an appeal | |
| 104 280 204 140 Multiple dependent claim, if not paid | | 121 280 221 140 Request for oral hearing | |
| 109 84 209 42 ** Reissue independent claims over original patent | | 138 1,510 138 1,510 Petition to institute a public use proceeding | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | | 140 110 240 55 Petition to revive - unavoidable | |
| SUBTOTAL (2) (\$) 1416 | | 141 1,280 241 640 Petition to revive - unintentional | |
| **or number previously paid, if greater; For Reissues, see above | | 142 1,280 242 640 Utility issue fee (or reissue) | |
| | | 143 460 243 230 Design issue fee | |
| | | 144 620 244 310 Plant issue fee | |
| | | 122 130 122 130 Petitions to the Commissioner | |
| | | 123 50 123 50 Processing fee under 37 CFR 1.17(q) | |
| | | 126 180 126 180 Submission of Information Disclosure Stmt | |
| | | 581 40 581 40 Recording each patent assignment per property (times number of properties) | |
| | | 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | | 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | 179 740 279 370 Request for Continued Examination (RCE) | |
| | | 169 900 169 900 Request for expedited examination of a design application | |
| | | Other fee (specify) _____ | |
| | | SUBTOTAL (3) (\$) 130 | |

| SUBMITTED BY | | Complete (if applicable) | |
|-------------------|------------------------|-----------------------------------|--------------|
| Name (Print/Type) | Lin Sun-Hoffman, Ph.D. | Registration No. (Attorney/Agent) | 47,983 |
| Signature | | Telephone | 240-453-3628 |
| | | Date | 4-Apr-02 |

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